Reply Brief

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant: Franciscus L. A. J. Kamperman Examiner: Darren Schwartz

Serial No: 10/521,858 Group Art Unit: 2435

Docket: NL 020681 Filed: January 21, 2005

Confirmation No.: 1225

For: Secured Authenticated Distance Measurement

Mail Stop: Appeal Brief-Patents Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## **REPLY BRIEF**

In addition to the arguments presented in the Appeal Brief filed on July 22, 2009, and in response to the Examiner's Answer dated November 10, 2009, Appellant submits the following reply.

Reply Brief

**REMARKS** 

This Reply Brief is in response to the Examiner's Answer dated November 10, 2009.

Reconsideration of this application is respectfully requested in view of the following remarks

and all of the arguments in the appeal brief of July 22, 2009 and prior responses.

**STATUS OF CLAIMS** 

a) Claims 1, 3, 5 - 11 and 13 are pending. Claims 1, 8 and 11 are independent.

b) Claims 2, 4 and 12 are cancelled without prejudice.

c) Claims 1, 3, 5 - 11 and 13 stand rejected and are under appeal.

**STATUS OF AMENDMENT** 

In the Appeal Brief, Appellant inadvertently made a typographical error, which

incorrectly stated a response to the non-final office action dated October 1, 2008 was filed on

December 31, 2009. As pointed out by the Examiner, this date is incorrect and should be

December 31, 2008.

GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

A. Whether claims 1, 5 - 11 and 13 are properly rejected under 35 U.S.C.  $\S 103(a)$ 

over Lundkvist (WO 02/035036 A1), in view of Blumenau et al., (U.S. Pat 6,493,825 B1),

hereinafter Blumenau.

B. Whether claim 3 is properly rejected under 35 U.S.C. §103(a) over Lundkvist in

view of Blumenau, in further view of Rofheart et al. (WO 01/93434 A2), hereinafter referred to

as Rofheart.

Attorney Docket: NL 020681

2

Reply Brief

## ARGUMENT IN RESPONSE TO THE EXAMINER'S ANSWER

The Examiner responds to Appellant's remarks starting on page 9 of the Examiner's answer. Appellant respectfully disagrees to the Examiner's comments for at least the following reasons.

According to MPEP 2143.01, Section VI: "If the proposed modification or combination of the prior art would change the principle of operation of the prior art invention being modified, then the teachings of the references are not sufficient to render the claims prima facie obvious."

Therefore, if combining Lundkvist and Blumenau would change the principle of operation of Lundkvist and Blumenau, a prima facie case of obviousness is not established.

On page 14 of the Examiner's answer, the Examiner asserted that Appellant failed to address the rejection as made by the Examiner. Appellant respectfully submits that Appellant fully understands the Examiner's position of applying Blumenau to Lundkvist according to the second exhibit on page 13 of the Examiner's answer and in previous Office Actions. However, as already discussed in Appellant's Appeal Brief, pages 8 – 9, and further elaborated below, Appellant submits that the Examiner's proposed combination of Blumenau and Lundkvist would change the principle operation of Blumenau and Lundkvist.

Blumenau, column 37, lines 46 – 47 and Fig. 33, element 381, clearly shows that it is the HOST CONTROLLER that sends a request in the first step of the process. Appellant submits that the first message sent by the HOST CONTROLLER in the first step of the process is omitted when the Examiner identified the STORAGE SUBSYSTEM PORT ADAPTER in Blumenau as the first communication device, because Fig. 33 clearly shows that the STORAGE

Attorney Docket: NL 020681

Reply Brief

SUBSYSTEM PORT ADAPTER in Blumenau is the one that sends the second signal, not the

first signal.

Therefore, Appellant contends that the issue is whether omitting the first message sent by

the HOST CONTROLLER in the first step of the process in order to arrive at the position taken

by the Examiner as depicted by the second exhibit on page 13 of the Examiner's answer would

change the principle operation of Blumenau and Lundkvist.

Appellant submits that the roles of the first and second communication devices are very

different and are not interchangeable, because the first communication device is the device that

initiates the first signal transmission and the second communication is device is the device that

responds to the first signal transmission. Appellant submits that the operation of a device that

initiates a communication is fundamentally different from the operation of device that responds

to a message, because the device that initiate a communication takes an active role whereas the

device that responds to a message takes a passive role. Therefore, omitting the first message

sent by the HOST CONTROLLER in the first step of the process and treating the STORAGE

SUBSYSTEM PORT ADAPTER as the first communication device would necessarily require a

substantial reconstruction and redesign of the elements as well as a change in the basic principle

of operation in Blumenau and Lundkvist.

On page 16 of the Examiner's answer, the Examiner asserted that the "first signal" as

claimed does not need to be an "initial" or "starting" signal. Appellant respectfully disagrees.

Appellant submits that plain English meanings of "first" clearly include "initial" and "starting".

Furthermore, the claim language in claim 1 includes "a first communication device to performing

authenticated distance measurement," which implies that the first communication device is the

4

Attorney Docket: NL 020681

Reply Brief

one that initiates the process and takes an active leading role. Hence, the "first" signal is clearly the "initial" or "starting" signal. Furthermore, the claim language also includes the elements: "first signal," "second signal" and "third signal." Therefore the signals are clearly sequential in time, with the "first" signal being the "initial" or "starting" signal. Thus, a skilled person in the art would naturally and reasonably identify the message 381 sent by the HOST CONTROLLER in the first step of the process of Blumenau's Fig. 33 as the first signal, but would not unreasonably consider elements 383 and 384 as the claimed first signal.

On pages 16, 17 and 20, the Examiner asserted that Appellant failed to address the rejection as made by the Examiner. Appellant submits that as discussed above, combining Blumenau and Lundkvist would require a substantial reconstruction and redesign of the elements as well as a change in the basic principle of operation. Appellant's Appeal Brief, pages 11 – 12, addresses Examiner's rejection by discussing the reconstruction and redesign that were required if Blumenau and Lundkvist were forced to combined and the inconsistency resulted if the position of the Examiner was taken, and that therefore, it is not obvious to combine Blumenau and Lundkvist to arrive at the claimed invention.

On page 19 of the Examiner's answer, the Examiner stated that the test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references, and that rather, the test is what the combined teachings of the references would have suggested to those of ordinarily skilled in the art. However, Appellant submits that according to MPEP 2143.01, Section VI: "If the proposed modification or combination of the prior art would change the principle of operation of the prior art invention being modified, then the teachings of the references are not sufficient to render the claims prima

Reply Brief

facie obvious." As discussed above, combining Blumenau and Lundkvist would require a

change in their principle operation and hence they would not work as initially intended,

therefore, the combined teaching would not suggest a skilled person to arrive at the claimed

invention.

**CONCLUSION** 

As discussed above, the combination of references is woefully deficient in teaching each

and every feature of Appellant's claims and Appellant respectfully submits that the rejection of

6

claims 1, 3, 5 - 11 and 13 is in error, legally and factually, and must be reversed.

Respectfully submitted,

/Hay Yeung Cheung/

By: Hay Yeung Cheung

Reg. No. 56,666

Myers Wolin, LLC

For: Kevin C. Ecker

Reg. No.: 43,600

Phone: (914) 333-9618

Please direct all correspondence to:

Kevin C. Ecker, Esq.

US PHILIPS CORPORATION

P.O. Box 3001

Briarcliff Manor, NY 10510-8001

Attorney Docket: NL 020681